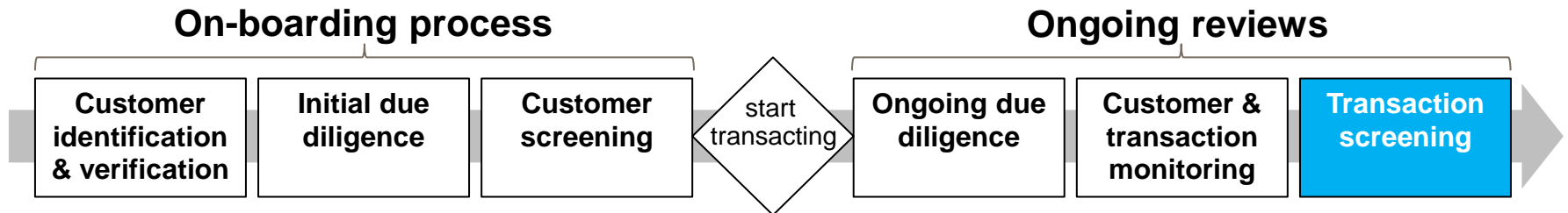




Sanctions screening



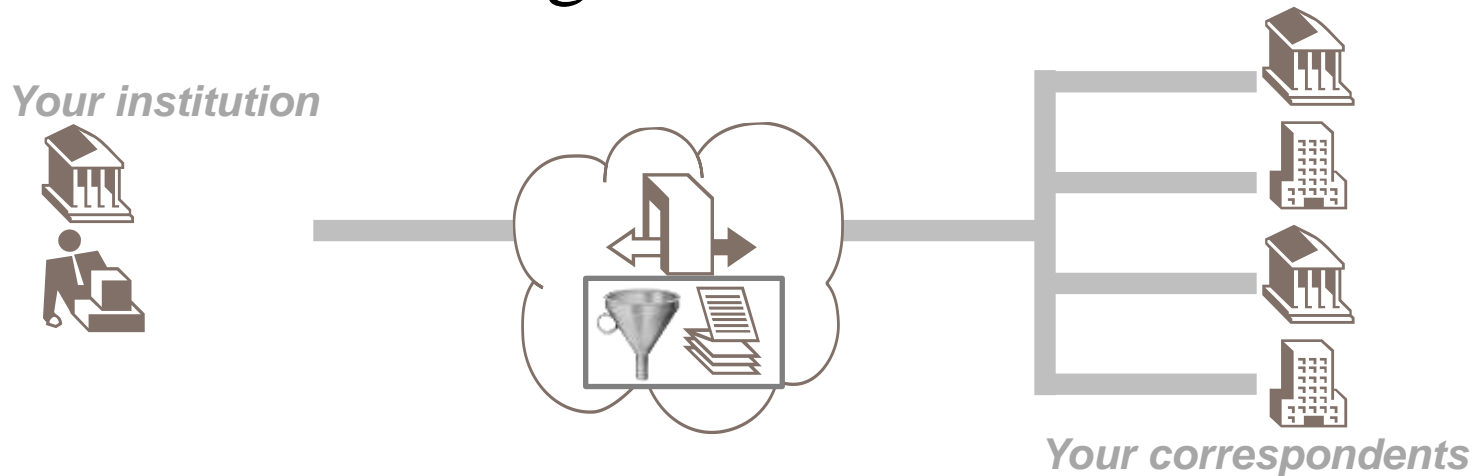
Sanctions screening – Scope



Sanctions Screening over SWIFT is a [Transaction screening](#) service:

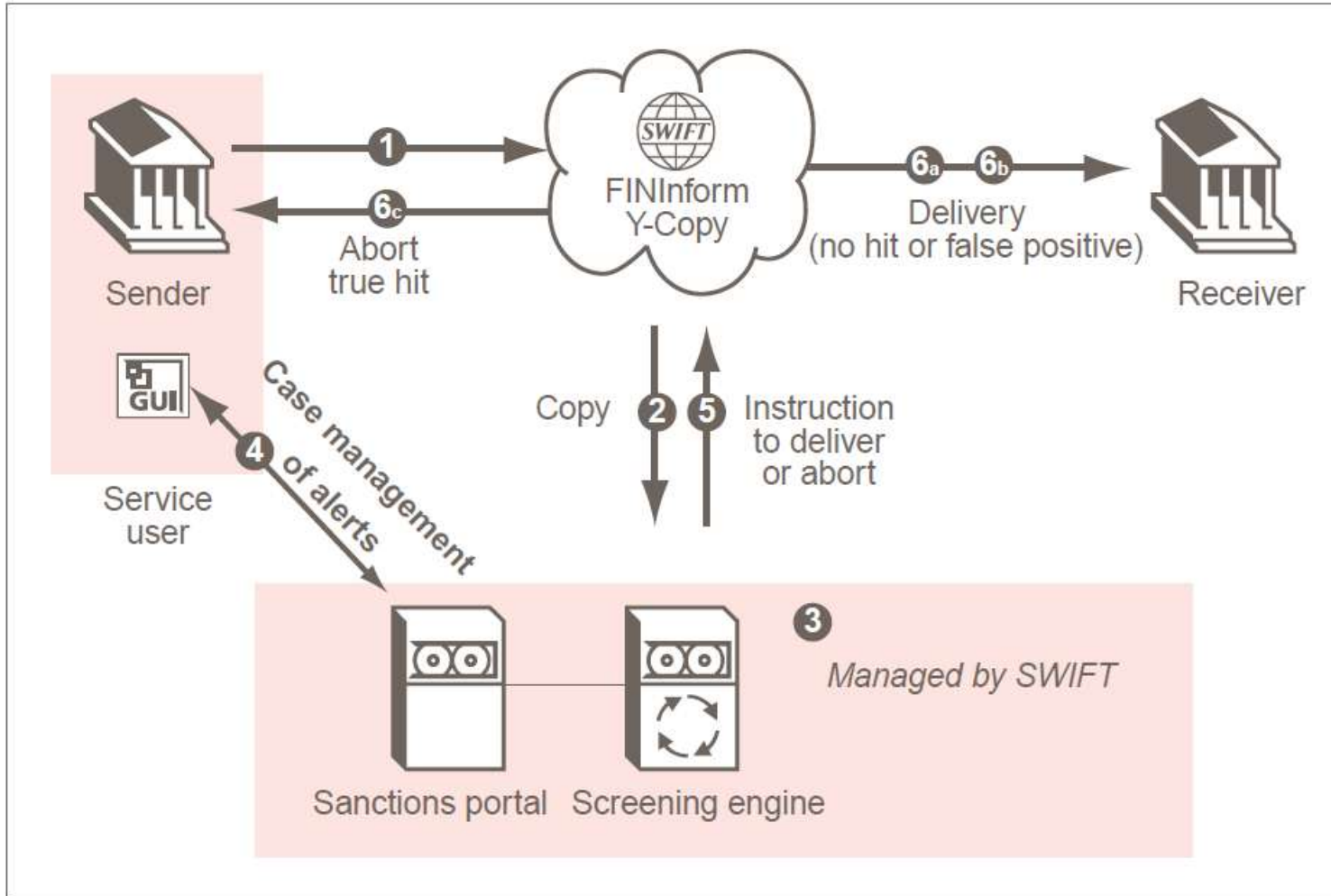
- FIN MT messages (CAT 1, 2, 4 and 7 at launch)
- Real time

Sanctions screening service overview

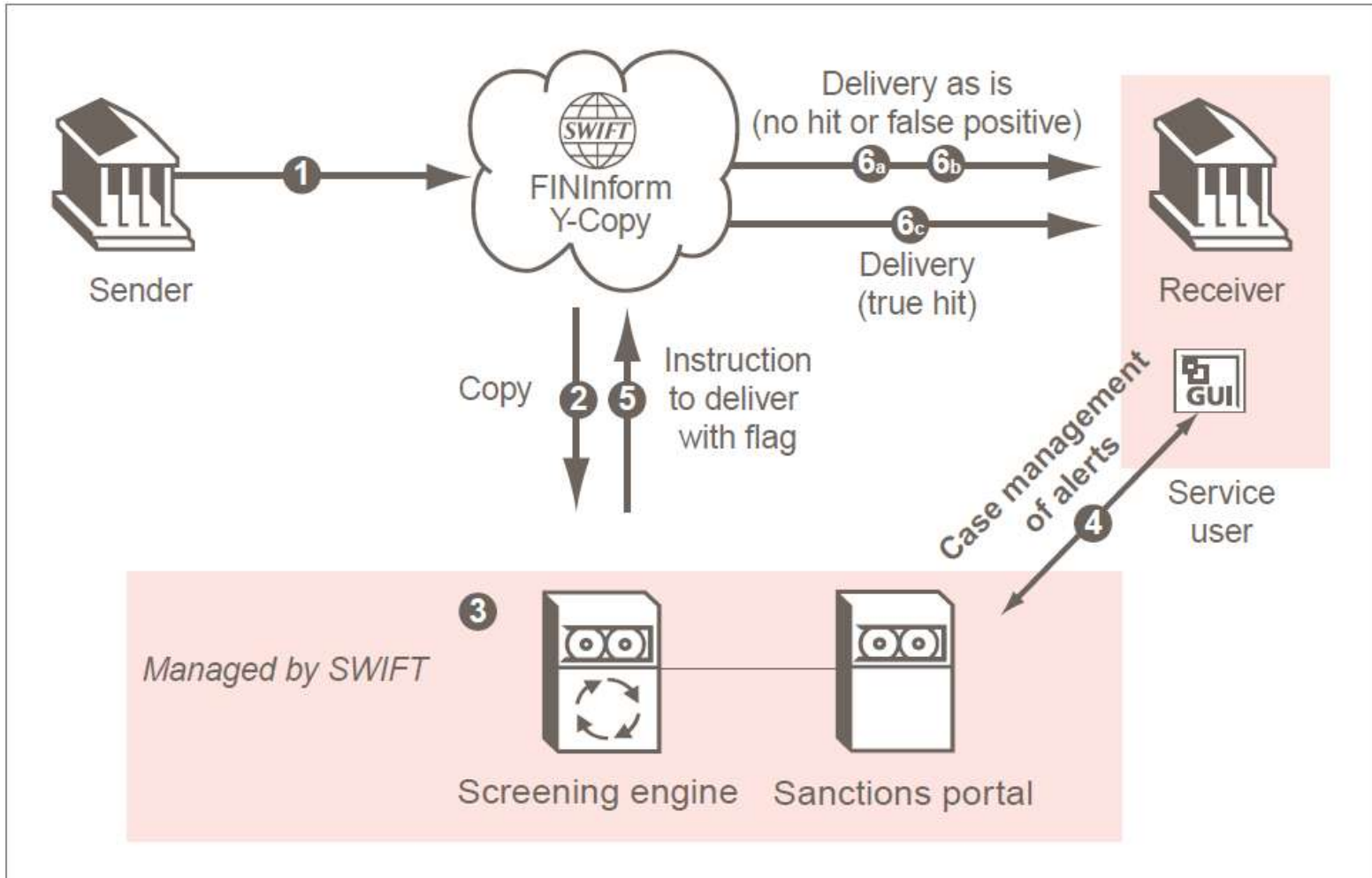


- A combination of best of breed:
 - Filter application
 - Sanctions List update service
- Centrally hosted and operated by SWIFT
- Real-time filtering service of FIN messages
- No local software installation & integration project

Service overview - as sender



Service overview - as receiver



Public lists supported at launch

- AU - Australia Department of Foreign Affairs and Trade
- AU - DFAT Iran Specified Entities Lists
- AU - Reserve Bank of Australia
- CA - Canada Office of the Superintendent of Financial Institutions
- CA - Department of Foreign Affairs and Trade
- EU - European Commission Official Journal
- [EU - Europe Countries Embargoes](#)
- FR - France Journal Officiel Français
- HK - Hong Kong Monetary Authority
- [HK - HKMA Countries Embargoes](#)
- JP - Japan Ministry of Finance
- NZ - New Zealand Police
- SG - Singapore Monetary Authority of Singapore
- CH - Switzerland Secrétariat d'Etat à l'Economie
- [CH - SECO Countries Embargoes](#)
- GB - United Kingdom Her Majesty Treasury
- GB - HMT Investment Ban list
- [GB - HMT Countries Embargoes](#)
- UN - United Nations United Nations
- [UN - UN Countries Embargoes](#)
- US - Office of Foreign Assets Control
- US - Denied Person List
- US - FinCEN
- US - Palestinian Legislative council
- [US - US Countries Embargoes](#)

Reporting & Auditing

- Service provides:
 - Monthly reporting of screening activity
 - Audit trail of all message ID, decisions
 - Audit trail of screening parameters
- SWIFT performs:
 - Periodical independent reviews to ensure effectiveness of the filter

Pricing simulation can be requested

Subscription fee 12,500 EUR / BIC	Covers provisioning & maintenance activities Equivalent for all BICs, regardless of volume and number of BICs subscribing
Fee per message copied (sent & recvd.)	Reflects use of the service Decreases with volume, in line with SWIFT practices Traffic aggregation for all BICs within same institution